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## ATTTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 129-05 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO HIS LOWE 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 12 May 2003 PCT/GB2004/002021 12 May 2004 TITLE OF INVENTION **DILUTION OF GENETIC TRAITS** APPLICANT(S) FOR DO/EO/US Luke Alphey Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 🔲 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. l An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. 14. X An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18 A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Statement of 12/3/04 by Applicant filed at (2 pgs); (2) Int'l Search Report (3 pgs) (1)20. LXI Other items or information: <u>(</u>2)

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

(2 pgs);

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PTO-1390 (Rev. 02-2005)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER	
	10/2	208U	PCT/GB200	4/002021	129-05	
The following fees have been submitted					CALCULATIONS	PTO USE ONLY
21. X Basic national fee\$300					\$ 300	
22. X Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					s 200	
23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. \$100 International Search Report prepared and provided to the Office. \$400 All other situations. \$500					\$ 400	
TOTAL OF 21, 22 and 23 =					\$ 900	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round <b>up</b> to a whole number)		RATE		
- 100 =	/50 =			× \$250	\$ 0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ 130	
CLAIMS NUME		ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	(	38 - 20 =	18	x \$ 50	\$ 900	
Independent clai	ms	4 -3=	1	x \$200	\$ 200	
MULTIPLE DEPENDENT CLAIM(S		S) (if applicable)		+ \$360	\$ 0	-
TOTAL OF ABOVE CALCULATIONS =					\$ 2130	
X Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
SUBTOTAL =					\$ 1065	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$ 0	
TOTAL NATIONAL FEE =					\$ 1065	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 0	
TOTAL FEES ENCLOSED =					\$ 1065	
					Amount to be	\$
					refunded: Amount to be charged:	\$
a. X A check in the amount of \$ 1065 to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 07-1969 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not						
be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed						
and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:						
		v. cyr	<u></u>			
Gary B. Chapman SIGNATORE GREENLEE, WINNER and SULLIVAN, P.C.  4875 Pearl Fast Circle, Suite 200  Gary B. (					Chanman	
4875 Pearl East Circle, Suite 200  Boulder, CO 80301  NAME					Ziiapiiiaii	<del></del>
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## CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Attorney Docket No.: 129-05

Applicant(s):

Luke Alphey

Serial No.:

Not yet assigned

Filing Date:

November 14, 2005

Title:

**Dilution of Genetic Traits** 

I hereby certify that the following correspondence:

- 1. Utility patent application under 35 USC 371 Transmittal 2 pages
- 2. Application data sheet 2 pages
- 3. Copy of the Published International Application, including the International Search Report: App. cover page 1 page, Specification 30 pgs., Claims 6 pages, Drawings 9 pgs., Search Report 3 pgs. 49 total pages
- 4. Statement by Applicant 2 pages
- 5. Preliminary Amendment 11 pages
- 6. Return Postcard
- 7. Check in the amount of \$1065.00

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Mail Stop PCT Commissioner for Patents, PO Box 1450 Alexandria, VA 22313-1450

On November 14, 2005

Ronald Friedland
(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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